



**MATERIAL TRANSFER AGREEMENT  
FOR THE DISTRIBUTION OF BIOLOGICAL MATERIAL  
to non-profit recipients**

This Agreement is concluded between

RECIPIENT

Organisation \_\_\_\_\_  
Street \_\_\_\_\_  
Post-Code, City \_\_\_\_\_  
Country \_\_\_\_\_

for

RECIPIENT SCIENTIST

Name and Title \_\_\_\_\_

and

PROVIDER

Organisation **Max-Planck-Gesellschaft zur Förderung der Wissenschaften e. V.,  
represented by the managing director of the Max-Planck-Institut für  
biophysikalische Chemie**

Street **Am Fassberg 11**

Post -Code, City **37077 Göttingen**

Country **Germany**

PROVIDER SCIENTIST

Name and Title **Prof. Dr. Ahmed Mansouri**



## I. Definitions:

1. PROVIDER:  
Organisation providing the ORIGINAL MATERIAL. The name and address of this party is specified on the first page of the MTA.
2. RECIPIENT:  
Organisation receiving the ORIGINAL MATERIAL. The name and address of this party is specified on the first page of the MTA.
3. RECIPIENT SCIENTIST:  
The name is specified on the first page of the MTA.
4. ORIGINAL MATERIAL:  
The description of the material being transferred will be specified at the end of the MTA.
5. MATERIAL:  
ORIGINAL MATERIAL, PROGENY and UNMODIFIED DERIVATIVES. The MATERIAL shall not include: (a) MODIFICATIONS, or (b) other substances created by the RECIPIENT through the use of the MATERIAL which are not MODIFICATIONS, PROGENY, or UNMODIFIED DERIVATIVES.
6. PROGENY:  
Unmodified descendant from the MATERIAL, such as micro-organism from micro-organism and/or recombinant DNA from recombinant DNA.
7. UNMODIFIED DERIVATIVES:  
Substances created by the RECIPIENT which constitute an unmodified functional subunit or product expressed by the ORIGINAL MATERIAL. Examples include: cloned/subcloned Original MATERIAL, purified or fractionated subsets of the ORIGINAL MATERIAL, and proteins expressed from DNA/RNA supplied by the PROVIDER.
8. MODIFICATIONS:  
Substances created by the RECIPIENT which contain/incorporate the MATERIAL.
9. COMMERCIAL PURPOSES:  
The sale, lease, license, or other transfer of the MATERIAL or MODIFICATIONS to a for-profit organisation. COMMERCIAL PURPOSES shall also include uses of the MATERIAL or MODIFICATIONS by any organisation, including RECIPIENT, to perform contract research, to screen compound libraries, to produce or manufacture products for general sale, or to conduct research activities that result in any sale, lease, license, or transfer of the MATERIAL or MODIFICATIONS to a for-profit organisation. However, industrially sponsored academic research shall not be considered a use of the MATERIAL or MODIFICATIONS for COMMERCIAL PURPOSES per se, unless any of the above conditions of this definition are met.
10. NONPROFIT ORGANIZATION(S):  
A university or other institution of higher education or an organisation exempt from taxation or any non-profit scientific or educational organisation qualified under a state nonprofit organisation statute.



## II. Terms and Conditions of this Agreement:

1. The PROVIDER retains ownership of the MATERIAL, including any MATERIAL contained or incorporated in MODIFICATIONS.
2. The RECIPIENT retains ownership of:
  - (a) MODIFICATIONS (except that, the PROVIDER retains ownership rights to the MATERIAL included therein), and
  - (b) those substances created through the use of the MATERIAL or MODIFICATIONS, but which are not PROGENY, UNMODIFIED DERIVATIVES or MODIFICATIONS (i.e., do not contain the ORIGINAL MATERIAL, PROGENY, UNMODIFIED DERIVATIVES). If either 2(a) or 2(b) results from the collaborative effort, joint ownership may be negotiated.
3. The RECIPIENT and the RECIPIENT SCIENTIST agree that the MATERIAL:
  - (a) is to be used solely for teaching and academic research purposes;
  - (b) will not be used in human subjects, in clinical trials, or for diagnostic purposes involving human subjects without the written consent of the PROVIDER;
  - (c) is to be used only at the RECIPIENT organisation and only in the RECIPIENT SCIENTIST's laboratory under the direction of the RECIPIENT SCIENTIST or others working under his/her direct supervision; and
  - (d) will not be transferred to anyone else within the RECIPIENT organisation without the prior written consent of the PROVIDER.
4. The RECIPIENT and the RECIPIENT SCIENTIST agree to refer to the PROVIDER any request for the MATERIAL from anyone other than those persons working under the RECIPIENT SCIENTIST's direct supervision. To the extent supplies are available, the PROVIDER agrees to make the MATERIAL available, under a separate implementing letter to this Agreement or other agreement having terms consistent with the terms of this Agreement, to other scientists (at least those at NONPROFIT ORGANIZATION(S) who wish to replicate the RECIPIENT SCIENTIST's research; provided that such other scientists reimburse the PROVIDER for any costs relating to the preparation and distribution of the MATERIAL.
5.
  - (a) The RECIPIENT and/or the RECIPIENT SCIENTIST shall have the right, without restriction, to distribute substances created by the RECIPIENT through the use of the ORIGINAL MATERIAL only if those substances are not PROGENY, UNMODIFIED DERIVATIVES, or MODIFICATIONS.
  - (b) Under a separate implementing letter to this Agreement (or an agreement at least as protective of the PROVIDER's rights), the RECIPIENT may distribute MODIFICATIONS to NONPROFIT ORGANIZATION(S) for research and teaching purposes only.
  - (c) Without written consent from the PROVIDER, the RECIPIENT and/or the RECIPIENT SCIENTIST may NOT provide MODIFICATIONS for COMMERCIAL PURPOSES. It is recognised by the RECIPIENT that such COMMERCIAL PURPOSES may require a commercial license from the PROVIDER and the PROVIDER has no obligation to grant a commercial license to its ownership interest in the MATERIAL incorporated in the MODIFICATIONS. Nothing in this paragraph, however, shall prevent the RECIPIENT from granting commercial licenses under the RECIPIENT's intellectual property rights claiming such MODIFICATIONS, or methods of their manufacture or their use.



6. The RECIPIENT acknowledges that the MATERIAL is or may be the subject of a patent application. Except as provided in this agreement, no express or implied licenses or other rights are provided to the RECIPIENT under any patents, patent applications, trade secrets or other proprietary rights of the PROVIDER, including any altered forms of the MATERIAL made by the PROVIDER. In particular, no express or implied licenses or other rights are provided to use the MATERIAL, MODIFICATIONS, or any related patents of the PROVIDER for COMMERCIAL PURPOSES.
7. If the RECIPIENT desires to use or license the MATERIAL or MODIFICATIONS for COMMERCIAL PURPOSES, the RECIPIENT agrees, in advance of such use, to negotiate in good faith with the PROVIDER to establish the terms of a commercial license. It is understood by the RECIPIENT that the PROVIDER shall have no obligation to grant such a license to the RECIPIENT, and may grant exclusive or non-exclusive commercial licenses to others, or sell or assign all or part of the rights in the MATERIAL to any third party(ies), subject to any pre-existing rights held by others and obligations to the Government.
8. The RECIPIENT is free to file patent application(s) claiming inventions made by the RECIPIENT through the use of the MATERIAL but agrees to notify the PROVIDER upon filing a patent application claiming MODIFICATIONS or method(s) of manufacture or use(s) of the MATERIAL.
9. Any MATERIAL delivered pursuant to this Agreement is understood to be experimental in nature and may have hazardous properties. The PROVIDER MAKES NO REPRESENTATIONS AND EXTENDS NO WARRANTIES OF ANY KIND, EITHER EXPRESSED OR IMPLIED. THERE ARE NO EXPRESS OR IMPLIED WARRANTIES OR MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE, OR THAT THE USE OF THE MATERIAL WILL NOR INFRINGE ANY PATENT, COPYRIGHT, TRADEMARK, OR OTHER PROPRIETARY RIGHTS.
10. Except to the extent prohibited by law, the RECIPIENT assumes all liability for damages which may arise from its use, storage or disposal of the MATERIAL. The PROVIDER will not be liable to the RECIPIENT for any loss, claim or demand made by the RECIPIENT, or made against the RECIPIENT by any other party, due to or arising from the MATERIAL by the RECIPIENT, except to the extent permitted by law when caused by the gross negligence or wilful misconduct of the PROVIDER.
11. This agreement shall not be interpreted to prevent or delay publication of research findings resulting from the use of the MATERIAL or the MODIFICATIONS. The RECIPIENT SCIENTIST agrees to provide appropriate acknowledgement of the source of the MATERIAL in all publications.
12. The RECIPIENT agrees to use the MATERIAL in compliance with all applicable statutes and regulations, including guidelines such as, for example, those relating to research involving the use of animals or recombinant DNA.
13. This Agreement will terminate on the earliest of the following dates:
  - (a) when the MATERIAL becomes generally available from third parties, for example, through reagent catalogues or public depositories, or
  - (b) on completion of the RECIPIENT's current research with the MATERIAL, or

- (c) on thirty (30) days written notice by either party to the other, or
- (d) on the date specified in an implementing letter, provided that:



- (i) if termination should occur under 13(a), the RECIPIENT shall be bound to the PROVIDER by the least restrictive terms applicable to the MATERIAL obtained from the then-available sources; and
- (ii) if termination should occur under 13(b) or (d) above, the RECIPIENT will discontinue its use of the MATERIAL and will, upon direction of the PROVIDER, return or destroy any remaining MATERIAL. The RECIPIENT, at its discretion, will also either destroy the MODIFICATIONS or remain bound by the terms of this agreement as they apply to MODIFICATIONS; and
- (iii) in the event the PROVIDER terminates this Agreement under 13(c) other than for breach of this Agreement or for cause such as an imminent health risk or patent infringement, the PROVIDER will defer the effective date of termination for a period of up to one year, upon request from the RECIPIENT, to permit completion of research in progress. Upon the effective date of termination, or if requested, the deferred effective date of termination, RECIPIENT will discontinue its use of the MATERIAL and will, upon direction of the PROVIDER, return or destroy any remaining MATERIAL. The RECIPIENT, at its discretion, will also either destroy the MODIFICATIONS or remain bound by the terms of this agreement as they apply to MODIFICATIONS.

14. Paragraphs 6, 9, and 10 shall survive termination.

The MATERIAL is provided at no cost, or with an optional transmittal fee solely to reimburse the PROVIDER for its preparation and distribution costs. If a fee is requested by the PROVIDER, the amount will be indicated at the end of the MTA.

The PROVIDER will forward the MATERIAL to the RECIPIENT SCIENTIST upon receipt of the signed copy from the RECIPIENT organisation.



**ORIGINAL MATERIAL**

1. Pax7-KO mice  
Pax7-LacZ-Knockin mice
  
2. Optional Termination Date: \_\_\_\_\_
  
3. Optional Transmittal Fee (to reimburse the PROVIDER for preparation and distribution costs) Amount: \$ \_\_\_\_\_



This MTA is effective when signed by all parties. The parties executing MATERIAL TRANSFER AGREEMENT FOR THE DISTRIBUTION OF BIOLOGICAL MATERIAL certify that their respective organisations have accepted and signed the terms and regulations of the MTA, and further agree to be bound by the terms, for the transfer specified above. Please fill in all of the blank lines below and sign:

## RECIPIENT

Organization \_\_\_\_\_  
Street Address \_\_\_\_\_  
Post -Code, City \_\_\_\_\_  
Country \_\_\_\_\_

Authorized Official:  
Signature \_\_\_\_\_  
Name and Title \_\_\_\_\_  
Date \_\_\_\_\_

## PROVIDER

Organisation **Max-Planck-Gesellschaft zur Förderung der Wissenschaften e. V.,  
represented by the managing director of the Max-Planck-Institut für  
biophysikalische Chemie**

Street Address **Am Fassberg 11**

Post -Code, City **37077 Göttingen**

Country **Germany**

Authorized Official:  
Signature \_\_\_\_\_  
Name and Title **Manfred Messerschmidt, Head of Administration**  
Date \_\_\_\_\_